

I hereby certify that due to the indefinite suspension of U.S. Office Express Mail services, the enclosed documents are being deposited for U.S. Express Mail in an envelope addressed to: COMMISSIONER OF PATENTS, 2900 CRYSTAL DRIVE, ARLINGTON, VA 22202-3513. IT IS FURTHER OUR UNDERSTANDING THAT THE USPTO WILL BE RESPONSIBLE FOR FORWARDING ALL OF THE ENCLOSED DOCUMENTS TO THE USPTO OFFICE LOCATED IN WASHINGTON D.C.

EV010197466US

Date Mailed: 02/13/2002

APPROVED

DUNLAP & CODDING, P.C.

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/920,669

Filing Date

08/02/2001

First Named Inventor

Charles C. Freeny, Jr.

Group Art Unit

2182

Examiner Name

Unknown

Attorney Docket Number

2551.062

## ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form  
☒ Fee Attached  
☐ Amendment / Reply  
☐ After Final  
☐ Affidavits/declaration(s)  
☐ Extension of Time Request  
☐ Express Abandonment Request  
☒ Information Disclosure Statement  
☐ Certified Copy of Priority Document(s)  
☐ Response to Missing Parts/ Incomplete Application  
☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☒ Assignment Papers (for an Application)  
☒ Drawing(s)  
☐ Licensing-related Papers  
☐ Petition  
☐ Petition to Convert to a Provisional Application  
☒ Power of Attorney, Revocation Change of Correspondence Address  
☐ Terminal Disclaimer  
☐ Request for Refund  
☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group  
☐ Appeal Communication to Board of Appeals and Interferences  
☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  
☐ Proprietary Information  
☐ Status Letter  
☒ Other Enclosure(s) (please identify below):

1. COMPLETION OF FILING REQUIREMENTS - 2 pgs.;  
\*\*SEE REMARKS

### Remarks

2. NOTICE TO FILE MISSING PARTS FORMALITIES LETTER - 1 pg; 3. Fee Trans. FY 2001 PTO/SB/17 - 1 pg.; 4. Trans. Form PTO/SB/21 - 1 pg; 5. Declaration for Utility Patent PTO/SB/01 (SIGNED) - 2 pgs.; 6. Assignment to: Automated Business Companies - 2 pgs; 7. Assignment Recordation Cover Sheet 1 pg (in dup.) 8. Power of Attorney PTO/SB/81 - 1 pg; 9. Statement Under 37 CFR 3.73(b) w/ copy of Assignment - 3 pgs.; 10. Substitute Drawings: Exh. A - 32 pgs; Exh. B - 4 pgs.; Exh. C - 6 pgs.; Exh. D - 19 pgs; 11. IDS- 5 pgs; 12. IDS by Applicant PTO/SB/08a - 2 pgs. w/ copy of cited references; 13. Commissioner authorized to charge required fees; and 14. Self-addressed, stamped Return Receipt Postcard

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Dunlap, Coddling & Rogers, P.C., 9400 North Broadway, Suite 420, Oklahoma City, OK 73114

Marc A. Brockhaus, Reg. No. 40,923

Signature

Marc Brockhaus

Date

2-13-2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail or U.S. Express mail no. EV010197466US in an envelope addressed to the address below on this date: 2-13-2002

Typed or printed name

Marc A. Brockhaus

Signature

Marc Brockhaus

Date

2-13-2002

SEND TO:

Box MISSING PARTS  
Commissioner for Patents, Washington, D.C. 2023

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EV010197466US

02/13/2002

02/15/02

APPROVED  
for  
PTO DEPOSIT AND SERVICE  
FORWARDING

PTO/SB/17 (11-00)  
Approved for use through 10/31/2002; OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 105

## Complete if Known

Application Number	09/920,669
Filing Date	08/02/2001
First Named Inventor	Charles C. Freeny, Jr.
Examiner Name	Unknown
Group Art Unit	2182
Attorney Docket No.	2551.062

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 04-1700  
Deposit Account Name: Dunlap, Coddling & Rogers, P.C.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 70	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ 0

### 2. EXTRA CLAIM FEES

Total Claims:  - Extra Claims:  X Fee from below:  = Fee Paid:   
Independent Claims:  - \*\* =  X  =   
Mult. Dependent:  - =  X  =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 105

## SUBMITTED BY

Name (Print/Type)	Marc A. Brockhaus	Registration No. (Attorney/Agent)	40,923	Telephone	(405) 478-5344
Signature	Marc Brockhaus	Date	2-13-2002		

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
SEND TO: WASHINGTON D.C. 20231

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EXPRESS MAIL NO. EV010197466US

PATENT



DATE DEPOSITED: 02/13/2002

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor of: Charles C. Freeny, Jr.

Application No.: 09/920,669

Group No.: 2182

Filed: 08/02/2001

Examiner: Unknown

For: MASTER OPERATING SOFTWARE  
SYSTEM

Atty. Docket No.: 2551.062

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

### COMPLETION OF FILING REQUIREMENTS - NONPROVISIONAL APPLICATION

In response to the Notice To File Missing Parts Of Nonprovisional Application (PTO-1533)  
mailed



A copy of the Notice to File Missing Parts of Application - Filing Date Granted  
(Form PTO-1533) is enclosed.



Oath & Declaration For Utility or Design Patent Application  
(37 CFR 1.63). (2 pages)



The declaration or oath that was filed was determined to be defective. A new original oath or  
declaration is attached. *(Complete (a) or (b) if applicable).*



(a) Statement by a registered attorney that the application filed in the PTO is the  
application that the inventor executed by signing the declaration.



(b) Statement that the "attached" specification is a copy of the specification and any  
amendments thereto that were filed in the PTO to obtain the filing date.

XX Information Disclosure Statement - 5 pgs. w/ PTO/SB08a -2 pgs. & cited references

☒ Assignment. (2 pages)

☒ Recordation Form Cover Sheet. - 1 pg. - in duplicate

☒ Power of Attorney/Agent and Certificate Under 37 CFR 3.73(b). (3 pages) -w/copy of Assignment

☐ Sequence Listing. (     pages)

☐ Amendment in response to Missing Parts mailed adding pages of the sequence listing to the specification.

☐ Statement That Content of the Paper and Computer Readable Copy Are the Same and Contain No New Matter.

☐ Computer readable form copy in ASCII format of the Sequence Listing.

XX SUBSTITUTE DRAWINGS AS REQUESTED IN NOTICE TO FILE MISSING PARTS  
Respectfully submitted,

Marc Brockhaus

Marc A. Brockhaus  
Registration No. 40,923  
DUNLAP, CODDING & ROGERS, P.C.  
9400 North Broadway, Suite 420  
Oklahoma City, Oklahoma 73114  
Telephone: 405/478-5344  
Facsimile: 405/478-5349

Agent/Attorney for Applicant



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/920,669	08/02/2001	Charles C. Freeny JR.	2551.062

CONFIRMATION NO. 6710

## FORMALITIES LETTER



Dunlap, Coddling & Rogers, P.C.  
c/o Marc A. Brockhaus  
Suite 420  
9400 North Broadway  
Oklahoma City, OK 73114

DOCKETED

Date Mailed: 12/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

02/21/2002 EAREGAY1 00000112 041700 0923609

01 FC:205

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*A copy of this notice MUST be returned with the reply.*

Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

[illegible]